

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT  
(Not for submission under 37 C.F.R. 1.99)

|                                    |
|------------------------------------|
| Application Number: 10/714,474     |
| Filing Date: 11/13/2003            |
| First Named Inventor: Ihab Hekal   |
| Art Unit: 1791                     |
| Examiner Name: McDOWELL, SUZANNE E |
| Attorney Docket No.: 062357.017508 |

## U.S. Patents

| Examiner Initial | Trial Exhibit No. | Cite No.  | Patent Number | Kind Code | Issue Date    | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines where relevant passages or relevant figures appear |
|------------------|-------------------|-----------|---------------|-----------|---------------|---|--|
| D-001/P-1        | 1                 | 5,911,937 |               |           | Jun 15, 1999  | Hekal   |  |
| D-003            | 2                 | 6,214,255 |               |           | Apr 10, 2001  | Hekal   |  |
| P-13             | 3                 | 5,288,532 |               |           | Feb 22, 1994  | Juhl et al.                                     |  |
|                  | 4                 | 5,432,214 |               |           | Jul. 11, 1995 | Lancesseur                                      |  |
|                  | 5                 | 5,911,937 |               |           | Jun 15, 1999  | Hekal   |  |
|                  | 6                 | 6,080,350 |               |           | Jun 27, 2000  | Hekal   |  |
|                  | 7                 | 6,124,005 |               |           | Sep 18, 2000  | Hekal   |  |
| P-3              | 8                 | 6,124,006 |               |           | Sep 26 2000   | Hekal   |  |
| P-4              | 9                 | 6,130,263 |               |           | Oct 10, 2000  | Hekal   |  |
| P-5              | 10                | 6,174,952 |               |           | Jun 16, 2001  | Hekal   |  |
| P-21             | 11                | 6,177,183 |               |           | Jan. 23, 2000 | Hekal   |  |
| P-7              | 12                | 6,214,255 |               |           | Apr 10, 2001  | Hekal   |  |
|                  | 13                | 6,221,446 |               |           | Apr. 21, 2001 | Hekal   |  |
|                  | 14                | 6,279,736 |               |           | Aug 28, 2001  | Hekal   |  |
| P-11             | 15                | 6,486,231 |               |           | Nov 26, 2002  | Hekal   |  |
|                  | 16                | 6,613,405 |               |           | Sep. 2, 2003  | Hekal   |  |
|                  | 17                | 5,078,909 |               |           | Jan. 7, 1992  | Shigeta et al.                                  |  |
| P-17             | 18                | 5,432,214 |               |           | Jul. 11, 1995 | Lancesseur                                      |  |
|                  | 19                | 4,425,410 |               |           | Jan. 10, 1984 | Farrell et al.                                  |  |

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**Foreign Patents**

| Examiner Initial | Trial Exhibit No. | Cite No. | Patent Number | Kind Code | Issue Date   | Name Of Patentee Or Applicant Of Cited Document | Pages, Columns, Lines Where Relevant Passages Or Relevant Figures Appear |
|------------------|-------------------|----------|---------------|-----------|--------------|---|--|
|                  | P-41              | 20       | EP 0 824 480  |           | Feb 25 1998  | Capitol Vial, Inc.                              |  |
|                  | P-54              | 21       | WO 97/32663   |           | Sep 12, 1997 | Capitol Vial, Inc.                              |  |

**Other Documents**

| Examiner Initial | Cite No. | Identify Document   |
|------------------|----------|---|
|                  | 22       | "SCI's Memorandum of Law in Support of it Motion for Summary Judgment of Patent Invalidity", Sud-Chemie Inc. v. CSP Technologies, Inc., dated October 24, 2004, 42pgs |
|                  | 23       | 13p - 9-29-04 - CSP Technologies Inc.'s Corrected First Supplemental Response To Interrogatory No. 5.   |
|                  | 24       | Consent Judgment And Dismissal With Prejudice Feb. 9, 2007 - 4pgs   |
|                  | 25       | Counterclaims Of Defendant CSP Technologies, Inc. dated Apr 20, 2005 -10 Pgs  |
|                  | 26       | Cover Sheet For The Deposition Designation and Deposition Of Ihab Hekal Sep. 24, 2004 - 90pgs   |
|                  | 27       | Cover Sheet For The Deposition Designation and Deposition Of Klaus Langer Apr 26, 2006 - 78pgs  |
|                  | 28       | Cover Sheet For The Deposition Designation and Deposition Of Barry Schindler Jul. 7, 2004 - 121pgs  |
|                  | 29       | Cover Sheet For The Deposition Designation and Deposition Of David Holbrook Dec. 3, 2004 - 85pgs  |
|                  | 30       | Cover Sheet For The Deposition Designation and Deposition Of Didier Lancesseur Apr. 25, 2006 84pgs  |
|                  | 31       | Cover Sheet For The Deposition Designation and Deposition of Didier Lancesseur Oct 28, 2004 - 85pgs   |
|                  | 32       | Cover Sheet For The Deposition Designation and Deposition Of Edith Mathiowitz Oct. 29, 2004 -152pgs   |
|                  | 33       | Cover Sheet For The Deposition Designation and Deposition Of Eric Judek Dec. 21, 2004 - 122 pgs   |
|                  | 34       | Cover Sheet For The Deposition Designation and Deposition Of Ihab Hekal Sep. 23, 2004 - 147 pgs   |
|                  | 35       | Cover Sheet For The Deposition Designation and Deposition Of Jonathan Freedman July 13, 2004 - 77pgs  |

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|                  | 36       | Cover Sheet For The Deposition Designation and Deposition Of Jonathan Freedman July 13, 2004-71pgs   |
|                  | 37       | Cover Sheet For The Deposition Designation and Deposition Of Klaus Langer - Oct 27, 2004 - 93pgs   |
|                  | 38       | Cover Sheet For The Deposition Designation and Deposition Of Klaus Langer - Oct. 28, 2004 - 57pgs  |
|                  | 39       | Cover Sheet For The Deposition Designation and Deposition Of Peter Sagona - Sep. 23, 2004 - 79pgs  |
|                  | 40       | Cover Sheet For The Deposition Designation and Deposition Of Pierre Rousseau - Dec. 1, 2004 - 51pgs  |
|                  | 41       | Cover Sheet For The Deposition Designation and Deposition Of Robert Garren - Jul. 8, 2004 - 135pgs   |
|                  | 42       | Cover Sheet For The Deposition Designation and Deposition Of Sumeet Kumar - Sep. 8, 2004 - 35pgs   |
|                  | 43       | Cover Sheet For The Deposition Designation and Deposition Of William Spano Oct. 11, 2005 - 123pgs  |
|                  | 44       | CSP Technologies Inc.'s Reply In Support Of Its Motion For Partial Summary Judgment Of Infringement And Ex. A, B, D, E, F, G dated Dec. 2, 2005 19pgs  |
|                  | 45       | CSP Technologies Memorandum In Support Of Its Motion For Partial Summary Judgment Of Infringement dated Oct. 21, 2005 22pgs  |
|                  | 46       | CSP Technologies, Inc.'s Memorandum in Support of Its Motion for Partial Summary Judgment of Infringement, dated October 21, 2005, 22pgs and Exhibits 2, 3, 4, 5, 8, 12, 13  |
|                  | 47       | CSP Technologies, Inc.'s Opposition to Süd -Chemie, Inc.'s Second Motion for Summary Judgment of Patent Invalidity and SCI's New Untimely Defenses and Declaration and Exhibits 1-4, 6, 7, 9, 10 12-15, dated November 17, 2005 - 30 pgs and Exhibits 1, 6, 7, 8, 11, 12, 13, 15 |

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| Art Unit:             | 1791                |
| Examiner Name:        | MCDOWELL, SUZANNE E |
| Attorney Docket No.:  | 062357.017508       |

| Examiner Initial | Cite No. | Identify Document   |
|------------------|----------|---|
|                  | 48       | CSP Technologies, Inc.'s Proposed Findings Of Fact And Conclusions Of Law, dated June 21, 2006 52pgs  |
|                  | 49       | CSP Technologies, Inc.'s Reply in Support of Its Motion for Partial Summary Judgment of Infringement and Exhibits A, B, D, E, F, G dated December 2, 2005 - 19pgs and Exhibit C |
|                  | 50       | CSP Technologies' Answer, Affirmative Defenses And Counterclaims To Süd-Chemie Inc.'s Second Amended Complaint dated May 2, 2005 - 83pgs  |
|                  | 51       | CSP's Opposition To SCI's Motion For Reconsideration dated Sep. 18, 2006 6pgs   |
|                  | 52       | CSP's Response To SCI's Post-Trial Reply Brief dated Aug. 8, 2006 4pgs  |
|                  | 53       | CSP's Response To SCI's Trial Brief And Proposed Findings Of Fact And Conclusions Of Law, dated July 17, 2006 29 pgs  |
|                  | 54       | CSP's Second Supplemental Objections And Responses To SCI's Second Set Of Interrogatory (No. 6) dated Dec. 3, 2004 6pgs   |
|                  | 55       | CSP's Sur-Reply to SCI's Second Motion for Summary Judgment of Invalidity, dated December 16, 2005 11pgs  |
|                  | 56       | CSP's Trial Brief, dated June 21, 2006 60pgs  |
|                  | 57       | CV Of Donald R. Paul 37pgs  |
|                  | 58       | Declaration of Donald R. Paul, PhD, In Support of SCI's Second Motion for Summary Judgment of Non-Infringement, 4pgs  |
|                  | 59       | Declaration Of Jean Pierre Giraud 4pgs  |
|                  | 60       | Deposition Of Glenn H. Frædrickson dated Aug. 24, 2005 10pgs  |
|                  | 61       | Deposition Transcript of Donald Paul, PhD Sep. 8, 2005 - 7pgs   |
|                  | 62       | Deposition Transcript of Robert Abrams, November 17, 2004   |
|                  | 63       | Deposition Transcript Of Sherman David Winters dated Sep. 7, 2005   |
|                  | 64       | Entry On Claim Construction dated Mar. 14, 2005   |
|                  | 65       | Expert Report Of Christopher W. Macosko Dated May 20, 2005 155 Pgs  |
|                  | 66       | Expert Report of Donald R. Paul, PhD, on behalf of Plaintiff Süd -Chemie Inc. Pursuant to Fed.R.Civ.Pro.26 (a)(2)(B), 4pgs dated May 20, 2005                                   |
|                  | 67       | First Supplemental Expert Report Of Christopher W. Macosko 51pgs  |

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|------------------|----------|---|
|                  | 68       | Fredrickson et al., "Project on Morphology Control in Polymer-Polymer-Particle Alloys" undated, 2 pgs   |
|                  | 69       | Fredrickson, "A New Method For Stabilizing Co-Continuous Polymer Blend Morphologies", For Capitol Specialty Plastics, 21 Pgs  |
|                  | 70       | Galloway, Et Al., "Comparison Of Method For The Detection Of Co-continuity In Poly (Ethylene Oxide)/Polystyrene Blends", Polymer Engineering And Science, April 2004, Vol. 44, No. 4, Pgs 15pgs                                       |
|                  | 71       | Giles, David, "Differential Scanning Calorimetry (DSC) tests done at the University of Minnesota" results for CSP Technologies, Inc. May 20, 2005, 8pgs   |
|                  | 72       | Kärger Et Al., "Intracrystalline Self-Diffusion Of Water And Short-Chain-Length Paraffins In A-Type Zeolites", Zeolites, Vol. 9, May 1989, 3pgs   |
|                  | 73       | Letters To The Editor, Journal Of Colloid And Interface Sciences, Vol. 44, No. 1, July 1973 "Self-Diffusion Measurement Of Water Adsorbed In Any Zeolite By Means Of NMR Pulsed Field Gradient Techniques", 2pgs                      |
|                  | 74       | Massey, "Permeability Properties Of Plastics And Elastomers, A Guide To Packaging And Barrier Materials", 2nd Ed., 2003, Plastics Design Library, 6pgs  |
|                  | 75       | Mathiowitz Et Al., "Novel Desiccants Based On Designed Polymeric Blends", Journal Of Applied Polymer Science, Vol. 80, 317-327 2001   |
|                  | 76       | MVTR Analysis of SCI Samples, Mocon Testing Services. March 23, 2005, including "Standard Test Method for Water Vapor Transmission Rate Through Plastic Film and Sheeting Using a Modulated Infrared Sensor" ASTM International, 8pgs |
|                  | 77       | Nago et al., "Microporous Polypropylene Sheets Containing Caco3 Filler: Effects Of Stretching Ratio And Removing Caco3 Filler", Tokuyama Corp. 3 December 1996  |
|                  | 78       | Official Reporters Transcript Of Markman Hearing - 105pgs   |
|                  | 79       | Order Declaring The Defendant's Patents Valid And Infringed By  |
|                  | 80       | Plaintiff Süd-Chemie Inc.'s Reply To The Second Amended   |
|                  | 81       | Plaintiff Süd-Chemie Inc.'s Reply To The Third Amended Counterclaims Of Defendant CSP Technologies, Inc. dated May 2, 2005 - 16pg   |
|                  | 82       | Plaintiff, Aug. 4, 2006 - 108 pgs   |
|                  | 83       | Pötschke Et Al., "Formation Of Co-Continuous Structures In Melt-Mixed Immiscible Polymer Blends", Journal Of Molecular Science, Part C - Polymer Reviews, Vol. 43, No. 1, Pp 87-141, 2003   |
|                  | 84       | Provisional Application for "Absorbent Polymeric Composition", Certificate of Express Mail, Provisional Application Transmittal Sheet, 20 pgs Specification, 7 sheets drawings, 2 postcards   |
|                  | 85       | Rebuttal Of Expert Report Of Christopher W. Macosko, July 7, 2005,147 Pgs   |
|                  | 86       | SCI's Brief in Opposition to CSP's Motion for Partial Summary Judgment of Infringement, dated November 17, 2005 - 26pgs and Exhibits 2, 4, 5, 6, 9  |

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|     |   |
|-----|---|
| 87  | SCI's Fourth Supplemental Objections and Responses to CSP's First Set of Interrogatories Nos. 1-11, 85pgs   |
| 88  | SCI's Objections And Responses To CSP's First Set Of Requests For Admissions Nos. 1-26 – Oct. 21, 2004-11pgs  |
| 89  | SCI's Objections And Responses To CSP's Second Set Of Interrogatories Nos. 12-23 dated Dec. 3, 2004 24pgs   |
| 90  | SCI's Opposition To CSP's Motion For Leave To File A Response To SCI's Post-Trial Reply Brief dated Aug. 15, 2006- 6pgs, Ex. C Parts 1-8, Attachment A, Attachment B, Attachment D,                                     |
| 91  | SCI's Opposition To CSP's Motion For Leave To File A Response To SCI's Post-Trial Reply Brief, 6pgs, Exhibits A, B, C (Parts 1-9) and D dated **, ***pgs  |
| 92  | SCI's Post-Trial Brief, dated June 26, 2006 60pgs   |
| 93  | CSP Technologies, Inc. Drug Master File No. 14789 February 3, 2003 66pgs  |
| 94  | CSP's Responses to SCI's Third Set of Interrogatories (Nos. 7-11)   |
| 95  | CV of Edith Mathiowitz  |
| 96  | USPTO File Wrapper of US Publication No. 2005/0089687 – 211 pgs   |
| 97  | SCI's Opposition to CSP's Motion in Limine to Exclude SCI's Allegedly Untimely Expert Reports April 6, 2006 12 pgs  |
| 98  | SCI's Post-Trial Reply, dated July 17, 2006 27pgs   |
| 99  | SCI's Proposed Findings Of Fact And Conclusions Of Law, dated June 21, 2006 80 pgs  |
| 100 | SCI's Reply Brief in Support of its Motion for Summary Judgment of Patent Invalidity, dated December 2, 2005 – 30 pgs   |
| 101 | SCI's Second Memorandum of Law in Support of its Motion for Summary Judgment of Patent Invalidity, dated October 21, 2005 – 42pgs with Exhibits 3, 4, 5, 6, 7, 8, 9, 10, 21, 22, 25, 36, 38, 40, 41, 52, 53, 54, 55, 56 |
| 102 | SCI's Sixth Supplemental Objections And Responses To CSP's First Set Of Interrogatories Nos. 1-11 dated May 3, 2005 95pgs   |
| 103 | SCI's Brief In Opposition To CSP 's Motion For Partial Summary Judgment Of Infringement – Ex. 2, 4, 5, 6, 9 dated Nov. 17, 2005 26pgs   |
| 104 | Süd-Chemie Inc.'s Motion For Reconsideration Of Portions Of The Order Declaring The Defendant's Patents Valid And Infringed By Plaintiff dated Sep. 6, 2006 17pgs - Exhibits A, B, C                                    |

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|                  | 105      | Süd-Chemie Inc.'s Second Amended Complaint, 25 Pgs With Exhibit A – US Pat. No. 5,911,937 - Hekal - 16pgs, Exhibit AA, Exhibit B, Exhibit C, Exhibit D, Exhibit G, Exhibit H, Exhibit I and Exhibit M |
|                  | 106      | Trial Transcripts May 15, 2006 48pgs  |
|                  | 107      | Trial Transcripts May 16, 2006 48pgs  |
|                  | 108      | Trial Transcripts May 17, 2006 47pgs  |
|                  | 109      | Trial Transcripts May 18, 2006 53pgs  |
|                  | 110      | Trial Transcripts May 19, 2006 42pgs  |
|                  | 111      | U.S. Patent Office File Wrapper, US Pat. 6,124,006 Issued Sep 26, 2000, 146Pgs  |
|                  | 112      | U.S. Patent Office File Wrapper, US Pat. 6,177,183 Issued Jan 23, 2000, 246 Pgs   |
|                  | 113      | U.S. Patent Office File Wrapper, US Pat. 6,194,079 Issued Feb 27, 2001, 254 Pgs   |
|                  | 114      | U.S. Patent Office File Wrapper, US Pat. 6,214,255 Issued Apr 10, 2001, 160 Pgs   |
|                  | 115      | U.S. Patent Office File Wrapper, US Pat. 6,488,231 Issued Nov 26, 2002, 121 Pgs   |
|                  | 116      | U.S. Patent Office File Wrapper, USSN 08/161,298 Filed March 5, 1996 – 193 Pgs  |
|                  | 117      | U.S. Patent Office File Wrapper, USSN 08/424,996 Filed April 19, 1995 – 317 Pgs   |
|                  | 118      | U.S. Patent Office File Wrapper, USSN 09/086,880, Filed May 29, 1990, 143 Pgs   |
|                  | 119      | U.S. Patent Office Technology Fair, July 27, 2000, Patent Center 1700, Presentation Abstracts and Presenter Biographies, 28pgs  |
|                  | 120      | US Patent Application Specification For "Desiccant Blended In A Thermoplastic", Inventor Ihab Hekal, Filed Jun 4, 1998, USSN 09/090,635, 22 Pgs   |
|                  | 121      | US Patent Office File Wrapper, US Pat. 6,130,263 Issued Oct 26, 2000 200 Pgs  |